

PATENT
790001-2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoshitaka Tsunashima et al.
U.S. Serial No. : 09/803,265
Filing Date : March 9, 2001
For : **SEMICONDUCTOR DEVICE HAVING A GATE
INSULATING FILM STRUCTURE INCLUDING AN
INSULATING FILM CONTAINING METAL, SILICON
AND OXYGEN AND MANUFACTURING METHOD
THEREOF**
Examiner : Not Yet Assigned
Art Unit No. : Not Yet Assigned

745 Fifth Avenue
New York, New York 10151

EXPRESS MAIL

Mailing Label Number: EL742673951US

Date of Deposit: May 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Edward Nay

(Typed or printed name of person mailing paper or fee)

[Signature]

(Signature of person mailing paper or fee)

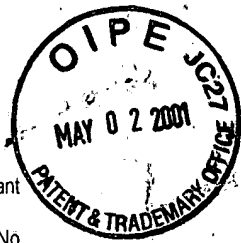
RECEIVED
JUN 5 2001
Technology Center 2100

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Before the issuance of the first Office Action in the above-identified application,
please amend the application as follows:



05-03-01

PATENT
790001-2002

0400
2151

11/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant Yoshitaka TSUNASHIMA et al.

Serial No. 09/803,265

09/803,265

Filed March 9, 2001

For SEMICONDUCTOR DEVICE HAVING A GATE INSULATING FILM STRUCTURE INCLUDING AN INSULATING FILM CONTAINING METAL, SILICON AND OXYGEN AND MANUFACTURING METHOD THEREOF

Examiner To Be Assigned

Art Unit To Be Assigned

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
☐ The fee has been calculated as shown below.
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	Additional fee
Total claims	* 20	Minus	** 20 =	* 0 x	\$18 (9)	= \$ 0
Independent claims	* 15	Minus	*** 15 =	* 0 x	\$78 (39)	= \$ 0
				Total additional fee for this amendment		\$ 0

- * If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
** If the highest number of total claims previously paid for is less than 20, write "20" in this space.
*** If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

☐ This application contains a multiple dependent claim. The required fee of \$250(125) has been previously paid ☐ or is paid herewith ☐.

☐ This response is being filed within the ☐ - month following the expiration of the term originally set therefor. This is a petition to request a ☐ - month extension of time. A check covering the cost of the petition is enclosed.

☐ A check in the amount of \$ ☐ is attached, which covers the cost of ☐ additional independent claims ☐ petition for extension of time.

☐ Charge \$ ☐ to Deposit Account No. 50-0320.

☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

EXPRESS MAIL

Mailing Label Number: EL742673951US
Date of Deposit: May 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box AF, Washington, D.C. 20231.

Edward Nay
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: [Signature]
Grace L. Pan
Reg. No. 39,440
Tel. (212) 588-0800
FAX (212) 588-0500